

**NOTTINGHAM CITY HOMES LIMITED**  
**GOVERNING BOARD MEETING**



**Date:** THURSDAY 28 APRIL 2016

**Time:** 5:30 PM

**Place:** SHEILA ROPER CENTRE, BASLOW DRIVE, LENTON ABBEY  
NOTTINGHAM, NG9 2SU

Directors of the Board are requested to attend the above meeting on the date and at the time and place stated to transact the following business:

George Pashley  
Company Secretary

**AGENDA**

			<b>Page No.</b>	<b>Time</b>
<b>1.</b>	<b>INTRODUCTORY ITEMS</b>			
1.1	WELCOME		-	5.30
1.2	APOLOGIES FOR ABSENCE		-	
1.3	DECLARATION OF INTERESTS		-	
1.4	ITEMS FROM THE CHAIR		-	
1.5	MINUTES OF THE MEETING HELD ON 31 MARCH 2016	Attached	3-11	6.00
1.6	MATTERS ARISING			6.05
<b>2.</b>	<b>GOVERNANCE</b>			
2.1	COMPANY SECRETARY'S REPORT	Attached	12-16	6.05
	Report of the Company Secretary			
	<ul style="list-style-type: none"><li>• NCH Registered Provider Update</li><li>• Register of People of Significant Control</li><li>• Use of Company Seal</li><li>• Registered Provider Shadow Board Meeting Dates</li><li>• Chair Appointment Process Update</li></ul>			
<b>3.</b>	<b>CLOSING ITEMS</b>			
3.1	ANY OTHER BUSINESS			
3.2	DATE OF NEXT MEETING			
	Thursday 26 May 2016			
<b>4.</b>	<b>CONFIDENTIAL</b>			

4.1	[REDACTED]	[REDACTED]	17-20	[REDACTED]
[REDACTED]	[REDACTED]			[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]		[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	21-35	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	36-44	[REDACTED]

Members wishing to raise matters under Any Other Business should note that items will only be accepted if referred to and agreed by the Chair of the Board prior to commencement of the Meeting. Members wishing to submit their apologies should do so by contacting the Company Secretariat on 0115 746 9485. This agenda was issued by email on 21 April 2016.

**NOTTINGHAM CITY HOMES LIMITED**

**THE BOARD**

**MINUTES** of the **PUBLIC MEETING** held on **31 MARCH 2016** at Loxley House,  
Station Street, Nottingham, NG2 3NJ

**Board Members**

Alex Ball  
Dave Bennett-Bull  
Bill Blincoe  
Scott Campbell  
Anne Dean  
Glenn Harris MBE  
Victor Haven  
Patience Ifediora (from 17.37)  
Julian Owen  
Trish Nixon  
Janet Storar MBE (Chair)  
Sarita-Marie Rehman-Wall  
Steve Young

**Also in Attendance:**

Cathy Dobb	Head of Governance
Steve Hale	Director of Property Services
Gill Moy	Director of Housing and Customer Services
Nick Murphy	Chief Executive
George Pashley	Company Secretary
Jonathan Shaw	Director of Investment and Business Services
Kathy Sheldon	Interim Assistant Director Tenancy and Estate Management
Sue Stevenson (attended for Agenda Item 3.2)	Customer Excellence Panel Chair

Observers:	
Judith Duncan	Customer Excellence Panel
Maria Hemphill	Customer Excellence Panel
Sally Jackson	Customer Excellence Panel
Dorothy Misomali	Customer Excellence Panel

**1. WELCOME, INTRODUCTIONS & CHAIR'S ANNOUNCEMENTS**

- 1.1 The Chair opened the meeting and welcomed Board Members and the Customer Excellence Panel (CEP) Members to the meeting.

- 1.2 The Chair announced proposals to the way Nottingham City will be policed in the future. Board Members were previously issued an invitation to attend a meeting with the Leader of Nottingham City Council to discuss this, a Council report on the matter and a draft letter from the Chair to the Chief Constable of Nottinghamshire Police detailing the concerns regarding the proposed changes. The letter has been written on behalf of our tenants and issued by the Board. The Chair invited discussion on the letter and whether the Board wishes to amend the contents.
  - 1.2.1 Discussion took place around the level of the Board Members knowledge of how the Police Service in Nottingham City and Nottinghamshire currently operates against the proposed changes. Board were informed that they did have a role to play in the proposals as NCC and NCH provide a financial and partnership contribution to the way Nottingham City is policed. It links directly to the NCH goal of creating homes and places where people want live, and impacts on the prevention and reduction of crime in our estates. Further discussion took place on the current state of the partnership between NCC and Nottinghamshire Police as neither NCC, NCH nor tenants were consulted about the proposed changes.
  - 1.2.2 It was stated that Nottinghamshire Police should have consulted, and the Board is unaware of any impact assessment or modelling undertaken prior to the decision being made. Any modelling should have been undertaken in partnership with NCC and other interested parties. Suggestion that the draft letter be amended to include constructive comments on how this can be taken forward.
  - 1.2.3 A majority decision was reached to amend the letter to change some of the text and tone, reduce the definitive statements and make it more conditional, expressing that we would be happy to be involved in suggesting positive solutions.

## **2. APOLOGIES FOR ABSENCE**

Apologies were received from Mike Khouri-Bent.

## **3. DECLARATIONS OF INTEREST**

None declared.

## **4. CONFIRMATION OF MINUTES OF THE MEETING HELD ON 25 FEBRUARY 2016**

These were agreed as a true record. The Chair signed the minutes.

## **5. MATTERS ARISING**

- 5.1 Julian Owen had submitted his apologies for the meeting held on 25 February 2016.
- 5.2 Victor Haven will finalise his notes from the National Housing Federation Board Member Conference held in London on the 5 and 6 February next week. These will be emailed to all Board Members when completed.

- 5.3 At the Board meeting held on 28 January 2016, a resolution was passed which allowed the Registered Provider (RP) to act as a parent body which is a regulatory requirement of the Homes and Communities Agency. An in-principle agreement to adopt the Nottingham City Homes Articles of Association was made, subject to comments being received from NCC. We have now received comments from NCC.
- 5.4 NCC supports the revised Articles of Association with one amendment to Article 5(8) which requires that notice be given to the Council Member in the event that NCH exercises its power to make donations, grants or loans or provides services or assistance to NCHRP Limited. NCC amendment is as follows:

5 (8) Subject to the prior written consent of the Council Member, make donations, grants or loans or provide services or assistance to such persons and Organisations and on such terms as the Organisation shall think fit to further the objects of the Organisation, provided that:

(i) no consent from the Council Member is required for the Organisation to make donations, grants or loans or provide services or assistance to Nottingham City Homes Registered Provider Limited;

(ii) in the event that the Organisation proposes to exercise its power contained in 5(8) (i) above, it shall provide notice to the Council Member to include reasonable information relating to the proposed power to enable the Council Member to remain informed of the Organisations activities.

The Board **approved** the revised Articles of Association including the amendment to Article 5(8)(i) as detailed above. The Board also **agreed** to recommend to the Council Member the Regulations set out in the amended Articles of Association be adopted as the Articles of Association of the Company in substitution for and to the exclusion of the existing regulations of the Company.

## **6. COMPANY SECRETARY'S REPORT**

### **6.1 GROUP DATA PROTECTION POLICY 2016-2019**

- 6.1.1 The Company Secretary introduced the report. He confirmed that Data Protection is a statutory duty. In order to avoid or reduce the risk of non-compliance by the Group, a policy is required to give guidance and state the Group's position on Data Protection. The Policy will form part of the Homes and Communities Agency (HCA) submission in April.
- 6.1.2 It was confirmed that the Data Protection Policy was the 'umbrella' policy that other policies would come under, for example Business Continuity Planning and disaster recovery are included in the Risk Register and ICT policy.

### **RESOLUTION:**

**The Board agreed:**

- 1. The Group Data Protection Policy 2016-2019.**

## 6.2 GROUP CODE OF CONDUCT

- 6.2.1 The Company Secretary stated that that NCH uses the National Housing Federation (NHF) Code of Governance to measure its compliance with good governance practice. The Code recommends that organisations have one Code of Conduct applying to employees and Board Members across all bodies. This Code will set the standards across the Group and ensures culture and values are lived from the top down.
- 6.2.2 In addition, the Code has been updated to take into account good HR practice and Employment legislation. The Company Secretary confirmed that the Board Member elements of the previous Code of Conduct remain intact.

### **RESOLUTIONS:**

#### **The Board agreed:**

##### **1. The Group Code of Conduct.**

## 6.3 CHAIR APPOINTMENT PROCESS

- 6.3.1 The Company Secretary explained that the Board at their meeting on 28 January 2016 agreed the principle of appointing a Chair through an internal application and interview process and agreed that should the post remain vacant after this process, it would be advertised externally.
- 6.3.2 Letters of Application from candidates would be submitted the week commencing 16 May 2016, and candidates would then be interviewed by a panel of other Board Members and an Independent External Assessor.

Those applications that the Panel support following the interview process will be put forward for election. If there is only one suitable candidate after this process, they will be deemed to be the Chair Elect and will take office at the first Board Meeting after the AGM in September, and their tenure will be for 12 months.

If, following the interview, the Panel deem that no applicants are suitable for the position of Chair of the Board, or if no applications are submitted, the position will be advertised externally. External applicants would then be interviewed by the same panel. Any successful candidate will take office at the first Board Meeting after the AGM in September, and their contract will be for three years, including annual break clauses related to performance and appraisals.

- 6.3.3 The Company Secretary also stated that NCH is looking to move, for Tenant Board Members, to a selection process rather than an election process. Board discussed whether it was necessary to have current Board Members who wish to reapply for Board Membership again to go through an interview panel, or whether it was needed in order to be clear and transparent in the selection process.

### **RESOLUTION:**

#### **The Board agreed:**

- 1. The process and timetable for Chair appointment and the move to a selection process for Tenant Board Members.**

#### 6.4 SOCIAL HOUSING EQUALITY FRAMEWORK (SHEF) ASSESSMENT

- 6.4.1 The Company Secretary stated that over the past two years NCH has worked towards achieving “Excellence” in the SHEF with the aim of using it to drive diversity across the organisation. We were assessed by the Local Government Association (LGA) last month and SHEF were satisfied that NCH meets the criteria for the “Excellent” Level.

#### **RESOLUTION:**

**The Board agreed:**

- 1. To note the outcome of the SHEF assessment.**

#### 6.5 GROUP MODERN DAY SLAVERY ACT 2015, TRANSPARENCY IN SUPPLY CHAINS

- 6.5.1 The Company Secretary stated that the Act makes provisions on slavery, servitude and forced or compulsory labour and human trafficking, including provision for the protection of victims. It requires organisations to prepare a slavery and human trafficking policy and a statement each financial year setting out the steps the organisation has taken to ensure that slavery and human trafficking is not taking place in any of its supply chain and any part of its own business, or a statement that it has taken no such steps. This must be approved by Board. A commercial organisation must comply with the Act if it supplies goods and services, and has an annual turnover of £36m.

#### **RESOLUTION:**

**The Board agreed:**

- 1. To agree the Modern Day Slavery Act 2015 Policy.**

#### 6.6 USE OF COMPANY SEAL

- 6.6.1 The Company Secretary explained that the Seal was affixed on 16 March 2016 for the purchase of 1 Kneeton Vale, NG5 3DR for £271,800. This property has been converted into two flats for the market rent arm of Nottingham City Homes Enterprises.

#### **RESOLUTION:**

**The Board agreed:**

- 1. To note the use of the Company Seal.**

#### 7. **GROUP TENANT & LEADERSHIP INVOLVEMENT STRATEGY 2016-2019**

- 7.1 The Interim Assistant Director Tenancy and Estate Management introduced the report by stating that tenant and leaseholder involvement is central to our vision

“Creating homes and places where people want to live”. This strategy describes how Nottingham City Homes (NCH) will continue to achieve its vision and meet its corporate goals through listening to tenants and leaseholders and involving them in all aspects of decision making.

- 7.2 The strategy reflects on the positive outcomes of the previous strategy including the Tenant Academy and a move away from the more formal tenant events to more interactive fun days and a Tenant Award Ceremony. The strategy builds on those successes and is looking to be more innovative, such as introducing Street Reps. It is expected that these Street Reps will be trained up to signpost neighbours to the support available to them and help report any issues in their immediate environment which need to be rectified.
- 7.3 Board Members expressed their support for the report. The Chief Executive expressed the need to support the Tenant and Leaseholder Strategy with the Communication and Marketing Strategy later on the agenda, to ensure that we get the celebratory news out there and by being more articulate about the range of things that are happening across our estates.

#### **RESOLUTION:**

**The Board agreed:**

- 1. To consider and provide comment on the content of the Group Tenant & Leaseholder Involvement Strategy 2016-2019.**
- 2. To delegate to the Chair of the Board the sign off of the finalised Group Tenant & Leaseholder Involvement Strategy 2016-2019.**

### **8. CUSTOMER EXCELLENCE PANEL NEW TENANCY VISIT SERVICE REVIEW**

- 8.1 The Chair of the Customer Excellence Panel (CEP) introduced the report by stating that the CEP have completed four service reviews so far, focusing on Lettable Standard, Repair Appointments, Right First Time and Anti-social Behaviour with all recommendations being approved by the former Performance & Service Improvement Committee (PSIC). This fifth service review focussed on New Tenancy Visits.
- 8.2 The Board stated that it was a good report, and with the richness of the information provided and the preciseness of the findings, they felt it gave a real insight into the area covered. CEP confirmed that the next area to be reviewed would be Borders and Fencing as it generates a number of complaints.

#### **RESOLUTION:**

**The Board agreed:**

- 1. To consider, comment and approve the recommendations within the CEP service review report, together with comments from the Executive Management Team (EMT) meeting of February 2016.**

### **9. GROUP VALUE FOR MONEY STRATEGY 2016-2019**



- 9.1 The Director of Investment and Business Services presented the report by stating that NCH's Value for Money Strategy provides a clear and robust framework for value for money (VFM) across the NCH Group. As NCH diversifies its activities, with the creation of two subsidiary companies, our focus on VFM has an ever higher prominence.
- 9.2 The Director of Investment and Business Services stated that there were cross cutting initiatives that run through all the other strategies, and therefore it was decided to bring together a VFM Strategy to detail how NCH made savings from the Corporate level all the way down to individual Team Plans.

**RESOLUTION:**

**The Board agreed:**

1. **To comment on the draft NCH Value for Money Strategy 2016-2017 and delegate sign off to the Chair following inclusion of the Board's comments.**

**10. NEW GOVERNMENT PROPOSALS AND EXTERNAL POLICY DEVELOPMENTS WITH AN IMPACT ON NOTTINGHAM CITY HOMES.**

- 10.1 The Director of Investment and Business Services introduced the report by stating that it provides an update on key housing policy announcements made since the start of 2016. This report continues a series of similar updates provided to the former Policy and New Business Committee. It is the intention to widen topics covered in future to broader policy areas that may impact on Nottingham City Homes (NCH).

**RESOLUTION:**

**The Board agreed:**

1. **To note the update.**

**11. GROUP COMMUNICATIONS AND MARKETING STRATEGY 2016-2018.**

- 11.1 The Director of Investment and Business Services introduced the report by stating that the strategy sets out the communication and marketing priorities for the NCH Group to continue building on and developing even more efficient and effective ways of communicating with and involving our tenants, leaseholders, customers, employees and stakeholders in decisions about services and policies that affect their lives.
- 11.2 The Director of Investment and Business Services confirmed that NCH worked jointly with NCC in setting this strategy. We need to ensure we consult with other stakeholders and that we get press coverage. Board Members will also receive 'Round the Houses' publication for their information and were asked to follow NCH on Facebook and Twitter. Board Members expressed concern regarding the correct use of Social Media. AB stated that Councillors have received training and that it may be possible for NCC to provide this training for Board Members.
- 11.3 As the final page of the strategy contains the logos in current use, the Chief

Executive confirmed that all branding and logos would need a control system which NCH are introducing. This means that any new branding has to go through the Communication and Marketing section to prevent any 'ad hoc' logos being created and use unofficially.

### **RESOLUTION:**

**The Board agreed:**

- 1. To discuss and comment on the draft Group Communications and Marketing Strategy for 2016-2018.**
- 2. To delegate sign off of the final version to the Chair to include the Board's comments, and feedback from Your Forum and the Tenant Communications Panel.**

## **12. GROUP CHILDREN, YOUNG PEOPLE AND VULNERABLE ADULTS SAFEGUARDING POLICY.**

- 12.1 The Interim Assistant Director, Tenancy and Estate Management introduced the report by stating that a number of adults, children and young people identified as being at risk live in social housing, so it is important that we have a robust response to supporting their needs and preventing and identifying risk of harm and abuse. Failure to do so would also carry a reputational risk.
- 12.2 NCH has identified the posts that require Disclosure and Barring Service (DBS) checks and have robust processes in place to regularly review these in accordance with the DBS Policy.
- 12.3 The new Care Act requirements, combined with safeguarding requirements will mean that NCH need to provide clear and appropriate refresher training for all employees. All have a role to pass on their suspicions, and all managers have responsibility to make a referral. It was confirmed that best practice in this area states its 'better safe than sorry' as a bench mark as to when to report and make a referral to the appropriate agency. It was confirmed that Board Members have the same level of responsibility and will need to alert a manager in NCH. A log will be kept of all submissions.

### **RESOLUTION:**

**The Board agreed:**

- 1. To consider and provide comment on the content of the Group Children, Young People and Vulnerable Adults Safeguarding Policy.**
- 2. To delegate to the Chair of the Board the sign off of the Group Children, Young People and Vulnerable Adults Safeguarding Policy.**
- 3. To approve that NCH establish all employees to take the role of an Alerter and all Managers to take the role of Referrer for their service area – whose role it will be to provide guidance and responsibility for deciding the most appropriate action to be taken with any alert.**

## **13. ANY OTHER BUSINESS**

None.

**14. DATE OF THE NEXT MEETING**

**The Board agreed that date of the next scheduled meeting was on the 28 April 2016 at the Sheila Roper Centre, Baslow Drive, Lenton Abbey Nottingham, NG9 2SU**

**The meeting closed at 18.47**

**SIGNED..... DATE .....**

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# NOTTINGHAM CITY HOMES

## REPORT OF THE COMPANY SECRETARY

THE BOARD  
28 APRIL 2016

### COMPANY SECRETARY'S REPORT

#### 1 SUMMARY

- 1.1 This report sets out the administrative and governance matters requiring consideration and approval by the Board since the last meeting.

#### 2 RECOMMENDATIONS

It is recommended that the Board:

- 2.1 Notes the submission of the detailed application for the Registered Provider subsidiary.
- 2.2 Notes the requirement for Nottingham City Homes (NCH) to maintain a Register of People of Significant Control.
- 2.3 Notes the use of the Company Seal.
- 2.4 Agrees the next meeting date for the Registered Provider Shadow Board and to consider the future meeting schedule for the Registered Provider Shadow Board.
- 2.5 Notes the update on the appointment of a Chair.

#### 3 REPORT

##### 3.1 NOTTINGHAM CITY HOMES REGISTERED PROVIDER UPDATE

- 3.1.1 The detailed application for registration of the Registered Provider subsidiary was submitted to the Homes and Communities Agency (HCA) on 13 April 2016. The HCA advises that it takes a minimum of three months from the time that an applicant submits the detailed application for the regulator to have assurance that the applicant has demonstrated whether it meets the registration criteria. We are expecting that the HCA will request additional information as part of this process.
- 3.1.2 It is recommended that the Board **note** the submission of the detailed application for the Registered Provider subsidiary.

##### 3.2 REGISTER OF PEOPLE OF SIGNIFICANT CONTROL

- 3.2.1 There is a new legal requirement for Companies to maintain a Register of People of Significant Control which is contained in a revised Part 21A of the Companies Act 2006, as amended by the Small Business, Enterprise and Employment Act 2015. The requirement is effective from 6 April 2016. The

register should be an accurate record of persons with significant control over the company. Individuals who hold significant control over a company are referred to as PSCs.

3.2.2 A PSC is an individual who meets one or more of the five specified conditions set out in Schedule 1A of the 2006 Act. These are:

1. They hold, directly or indirectly, more than 25% of the shares in the company.
2. They hold, directly or indirectly, more than 25% of the voting rights in the company.
3. They hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company.
4. They otherwise have the right to exercise, or actually exercise, significant influence or control over the company.
5. They have the right to exercise, or actually exercise, significant control or influence over the activities of a trust or firm that, in each case, does not have legal personality under its governing law, where the trustees or members of that trust or firm meet any of conditions one to four (in their capacity as such) in relation to the company, or would do so if they were individuals.

3.2.3 Under the Act certain bodies are deemed “individuals” for the purposes of the PSC Register. This list includes “local authorities” and so for the purpose of the register a local authority is treated as a PSC.

3.2.4 Having established that the local authority is a registrable PSC, the Act then prescribes the following as the required particulars which need to appear on the PSC register;

1. Name.
2. Principal Office.
3. Legal form and governing law.
4. Date of becoming a registrable PSC.
5. Nature of the PSCs control.

NCH’s register will therefore reflect the following:

1.-3. Will Be Nottingham City Council, Loxley House, Station Street, NG2 3NJ, Local Authority of England and Wales.

4. The registrable date is 6 April 2016.

5. The nature of control refers to the five specified conditions set out in 3.2.2 above. The register must reflect both the extent of a person's control over the company and the nature of that control, by explaining which specified conditions are met.

Conditions 1 and 3 will be relevant to the Council’s control over NCH. Entry details are set out at 3.2.5 and 3.2.6 below.

3.2.5 First Specified Condition:

For the PSC entry on NCH’s register the statement should be “The person

holds, directly or indirectly, 75% or more of the shares in the company”.

### 3.2.6 Third Specified Condition:

NCH Articles include a power for the Council to appoint and remove all Board Members. The official wording to be included should state: “The person holds the right, directly or indirectly, to appoint or remove a majority of the board of directors.”

3.2.7 The PSC Register falls within the definition of “company record” contained in the 2006 Act. From 30 June 2016, NCH will be required to provide a confirmation statement to Companies House which will include details of PSCs.

3.2.8 It is recommended that the Board **notes** the requirement for NCH to maintain a Register of People of Significant Control.

### 3.3 USE OF COMPANY SEAL

3.3.1 The Board is asked to **note** the following uses of the Company Seal.

3.3.2 TR1 Transfer of Title - The Seal was affixed on the 30 March 2016 for the purchase of 83 Ransom Road, St Anns, NG3 3LH for £75,000.

3.3.3 The Seal was affixed on 30 March 2016 to the Intra-Group Agreement between Nottingham City Homes, Nottingham City Homes Registered Provider Limited and Nottingham City Homes Enterprises Limited.

3.3.4 TR1 Transfer of Title –The Seal was affixed on the 31 March 2016 for the purchase of 50 Marham Close, Nottingham, NG2 4GR for £108,500.

### 3.4 REGISTERED PROVIDER SHADOW BOARD MEETING DATES

3.4.1 As the Registered Provider application has now been submitted, it is likely that the requirement for the Registered Provider Shadow Board to meet on a monthly basis is no longer required for the time being.

3.4.2 It is therefore proposed to hold the next meeting of the Registered Provider Shadow Board on 26 May 2016, directly following the Governing Board meeting on the same date.

3.4.3 Board Members comments are being sought on the future meeting schedule for the Registered Provider Shadow Board.

3.4.4 It is recommended that the Board **agrees** the next meeting date for the Registered Provider Shadow Board and to **consider** the future meeting schedule for the Registered Provider Shadow Board.

### 3.5 CHAIR APPOINTMENT PROCESS UPDATE

3.5.1 All Board Members were recently issued with an updated Chair of the Board Job Description that had been reviewed by the current Chair of the Board.

3.5.2 Board Members who are interested in submitting a Letter of Application for the position of Chair of the Board are asked to include in their letter how they best

match the job description and competencies of the role that are contained in the job description.

3.5.3 Board Members are reminded that the following timetable will be implemented:

- Current Board Members submit a Letter of Application for the position of Chair in the week commencing 16 May 2016.
- Interviews to take place week commencing 23 May 2016.
- Elections of suitable candidates to commence / external advertising of Chair position week commencing 6 June 2016.

Training is on-going and the Company Secretary and the Governance Team are available to support Board Members if necessary.

3.5.4 It is recommended that the Board **notes** the update on the process of appointing a Chair of the Board.

## **4 FINANCIAL, LEGAL AND RISK IMPLICATIONS**

### **4.1 Financial Implications**

4.1.2 There are no significant financial implications; costs will be contained within previously agreed existing budgets.

### **4.2 Legal Implications**

4.2.1 All of the initiatives within this report are carried out within legislation including the Companies Act 2006 and NCH's Governance processes. Legal implications are set out in the report where appropriate and items relating to the formation of the Registered Provider are subject to legal advice from Anthony Collins LLP as previously distributed to the Board.

### **4.3 Risk Implications**

4.3.1 The Corporate Risk Register sets out NCH's approach to risk mitigation including the items set out in this report. Risks are currently being managed via the Company's Corporate Risk Register.

## **5 IMPLICATIONS FOR NOTTINGHAM CITY HOMES OBJECTIVES**

5.1 The submission of the RP Application will have a significant impact for NCH achieving its corporate objectives, set out in the Corporate Plan and associated high level corporate commercial, financial and business plans.

## **6 EQUALITY IMPACT ASSESSMENT**

6.1 Has the equality impact of these proposals been assessed?

Yes (EIA attached)

No (this report does not contain proposals for significant changes to process at this stage).

## **7 BACKGROUND MATERIAL AND PUBLISHED DOCUMENTS REFERRED TO IN COMPILING THIS REPORT**

- 7.1 The Companies Act 2006  
The Small Business, Enterprise and Employment Act 2015

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**DATE: 14 April 2016**

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